



## 2 Climate change consequences on the biome distribution in tropical 3 South America

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6 [1] We study the consequences of projected climate  
7 change on biome distribution in South America in the  
8 21st century forcing a potential vegetation model with  
9 climate scenarios from 15 climate models for two emission  
10 scenarios (A2 and B1). This analysis was carried out for the  
11 savanna and tropical forest biomes, which are the  
12 predominant biomes in tropical South America. In both  
13 scenarios, the results indicate reduction of tropical forest  
14 cover areas which would be replaced by savannas. This  
15 reduction of tropical forests increases with the time through  
16 the end of the 21st Century, mostly over southeastern  
17 Amazonia. Considering the biome changes from current  
18 potential vegetation in the case when at least 75% of the  
19 calculations agree on the projected biome change  
20 (consensus), the decrease of the tropical forest area in  
21 South America is 3% for the period 2020–2029, 9% for  
22 2050–2059 and 18% for 2090–2099 for the A2 emission  
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27

### 29 1. Introduction

30 [2] Climate and the vegetation interact bidirectionally on  
31 many time and spatial scales. One clear manifestation of  
32 such interaction is the global pattern of vegetative land  
33 cover and climate. Climate may be regarded as the single  
34 factor that exerts the largest influence on vegetation distri-  
35 bution and its characteristics on a global context [Prentice,  
36 1990]. Thus, deserts, tropical forests, savannas, and other  
37 types of vegetation are determined to a first approximation  
38 by climate. Climate change has affected the global distri-  
39 bution of vegetation from the distant past and will likely  
40 affect it into the future. On the other hand, changes in the  
41 distribution and structure of the vegetation may influence  
42 climate [Nobre *et al.*, 2006]. The geographical distribution  
43 of the vegetation communities and their relationship with  
44 the climate has been examined with biogeographical models  
45 or biome models. These models use as central paradigm the  
46 assumption that climate has a dominant control over the  
47 distribution of vegetation. Numerous ‘Potential Vegetation  
48 Models’ (PVM) are found in the literature (e.g., BIOME  
49 of Prentice *et al.* [1992], MAPSS of Neilson [1995],

CPTEC-PVM of Oyama and Nobre [2004], etc.). Recently, 50  
dynamic vegetation models (e.g., IBIS of Foley *et al.* [1996] 51  
and LPJ-DGMV of Storch *et al.* [2003]) provide the possibility 52  
to evaluate vegetation response to transient and long-term 53  
climate change. Due to the simplicity of the biome models 54  
and the existence of empirical global rules linking natural 55  
vegetation and the climate, biome models have been used in 56  
many climate studies [e.g., Claussen and Esch, 1994; Nobre 57  
*et al.*, 2004]. 58

[3] Field observations [Gash and Nobre, 1997] and 59  
numerical studies [e.g., Nobre *et al.*, 1991] reveal that large 60  
scale deforestation in Amazonia could alter the regional 61  
climate significantly. Evapotranspiration is reduced and 62  
surface temperature is increased when rainforests are 63  
replaced by pasturelands. That effect alone might lead to a 64  
‘savannization’ of portions of the tropical forest domain 65  
[Nepstad *et al.*, 2001]. Recently, Oyama and Nobre [2003] 66  
showed the existence of a second stable biome-climate 67  
equilibrium state with savannas covering eastern Amazonia 68  
and semi-deserts in Northeast Brazil. However, there have 69  
been few studies on the impact of global climate change on 70  
South America, particularly on its biomes [e.g., Cox *et al.*, 71  
2004; Scholze *et al.*, 2006; K. H. Cook and E. K. Vizy, 72  
Effects of 21st century climate change on the Amazon 73  
rainforest, submitted to *Journal of Climate*, 2007, 74  
hereinafter referred to as Cook and Vizy, submitted 75  
manuscript, 2007]. In all of these studies, tropical South 76  
America emerges as a region of possible conversion of 77  
significant amounts of forest to nonforest areas as a result of 78  
global warming. 79

[4] This study addresses this question further by assess- 80  
ing, with CPTEC-PVM model [Oyama and Nobre, 2004], 81  
how natural biomes could change in response to 82  
various scenarios of climate change prepared for the 83  
Intergovernmental Panel on Climate Change Fourth 84  
Assessment Report (IPCC AR4). The application of 85  
ensemble analysis, which is rarely done in studies like this, 86  
is an attempt to provide a robust assessment of climate- 87  
change consequences on biome distributions. 88

### 2. Model, Data and Experiments 89

[5] This study uses standard output, available through the 90  
World Climate Research Programme’s (WCRP’s) Coupled 91  
Model Intercomparison Project phase 3 (CMIP3) multi- 92  
model dataset, from fifteen Coupled Ocean-Atmosphere 93  
GCMs for the IPCC AR4: BCCR-BCM2.0, CCSM3, 94  
CGCM3.1(T47), CNRM-CM3, CSIRO-Mk3.0, ECHAM5/ 95  
MPI-OM, ECHO-G, GFDL-CM2.0, GFDL-CM2.1, 96  
GISS-ER, INM-CM3.0, IPSL-CM4, MIROC3.2(MedRes), 97  
MRI-CGCM2.3.2, and UKMO-HadCM3. These models 98  
have a resolution around 1.4°–5°, and simulate the climate 99

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100 in the 21st Century according to the changes in climate  
 101 forcing, including increase of atmospheric carbon dioxide.  
 102 We have examined the biome distribution in the 21st Century  
 103 under the emission scenarios A2 and B1 (that represent the  
 104 plausible range of conditions over the next century). In  
 105 scenario B1, the atmospheric CO<sub>2</sub> concentration in the year  
 106 2100 reaches a level of 550 ppm, about twice the  
 107 preindustrial level; in A2 the corresponding value is  
 108 860 ppm [*Intergovernmental Panel on Climate Change*,  
 109 2000]. Climate simulation for the end of the 20th century  
 110 (20CM3) of each model is used to evaluate the models'  
 111 anomalies. The precipitation and surface temperature  
 112 monthly climatology (1961–1990) are obtained from work  
 113 by *Willmott and Matsuura* [1998]. The climatology data,  
 114 originally on 0.5° resolution, and the models scenarios  
 115 utilized are interpolated to T62 spectral resolution (about  
 116 2°), which is the resolution used for the calibration of the  
 117 CPTEC-PVM [*Oyama and Nobre*, 2004].

118 [6] The potential vegetation model used is CPTEC-PVM  
 119 [*Oyama and Nobre*, 2004]. Given a set of environmental  
 120 variables derived from climatological values of monthly  
 121 mean surface temperature and precipitation – namely,  
 122 growing degree-days (G), temperature of the coldest month  
 123 (Tc) and two moisture indexes (one to distinguish between  
 124 wet and dry climates, H, and the other to represent the soil  
 125 moisture seasonality, D) – CPTEC-PVM outputs a biome  
 126 belonging to the vegetation classification of *Dorman and*  
 127 *Sellers* [1989]: Tropical forest, temperate forest, mixed  
 128 forest, boreal forest, larch, savanna, grassland, caatinga,  
 129 semi-desert, tundra and desert. The CPTEC-PVM is similar  
 130 in structure to other PVM in use, such as the BIOME  
 131 model [*Prentice et al.*, 1992], but it does not account for  
 132 ecological competition between plants. Only one biome is  
 133 assigned to each grid cell. The CPTEC-PVM shows a  
 134 good skill in reproducing the current natural vegetation  
 135 distribution pattern on a global scale and, on a regional  
 136 level in South America, the model is able to reproduce  
 137 the principal biome types: the tropical forest in Amazonia  
 138 and Atlantic coastal region, the savannas in Central  
 139 Brazil, the dry shrubland vegetation ('caatinga') in North-  
 140 east Brazil and Chaco region, the grasslands in the  
 141 Pampas, and the semi-desert vegetation in Patagonia  
 142 [*Oyama and Nobre*, 2004].

143 [7] In order to evaluate the biome redistribution over  
 144 South America for future scenarios of climate change, the  
 145 CPTEC-PVM was used in three 10-year time-slice of the  
 146 21st Century: 2020–2029, 2050–2059 and 2090–2099,  
 147 and for the A2 and B1 scenarios of Greenhouse Gas  
 148 Emissions (GHG). To avoid unrealistic biome placement  
 149 due to the atmospheric model systematic errors, the anom-  
 150 alies of precipitation and temperature (with respect to each  
 151 model's average precipitation and temperature for the base  
 152 period 1961–1990, for each time-slice analyzed) are added  
 153 to the observed climatology to drive the vegetation model  
 154 (anomaly coupling procedure) [e.g., *Kutzbach et al.*, 1998;  
 155 *Oyama and Nobre*, 2003]. For each 10-year time-slice  
 156 climatology, the model is run until the soil water seasonal  
 157 cycle difference between successive years is close to zero.  
 158 Comparing the potential vegetation of each 21st century  
 159 time-slice and the current potential vegetation (output of  
 160 the CPTEC-PVM forced by the present-day climate), it

is possible compute de areas where the biome has 161  
 changed. 162

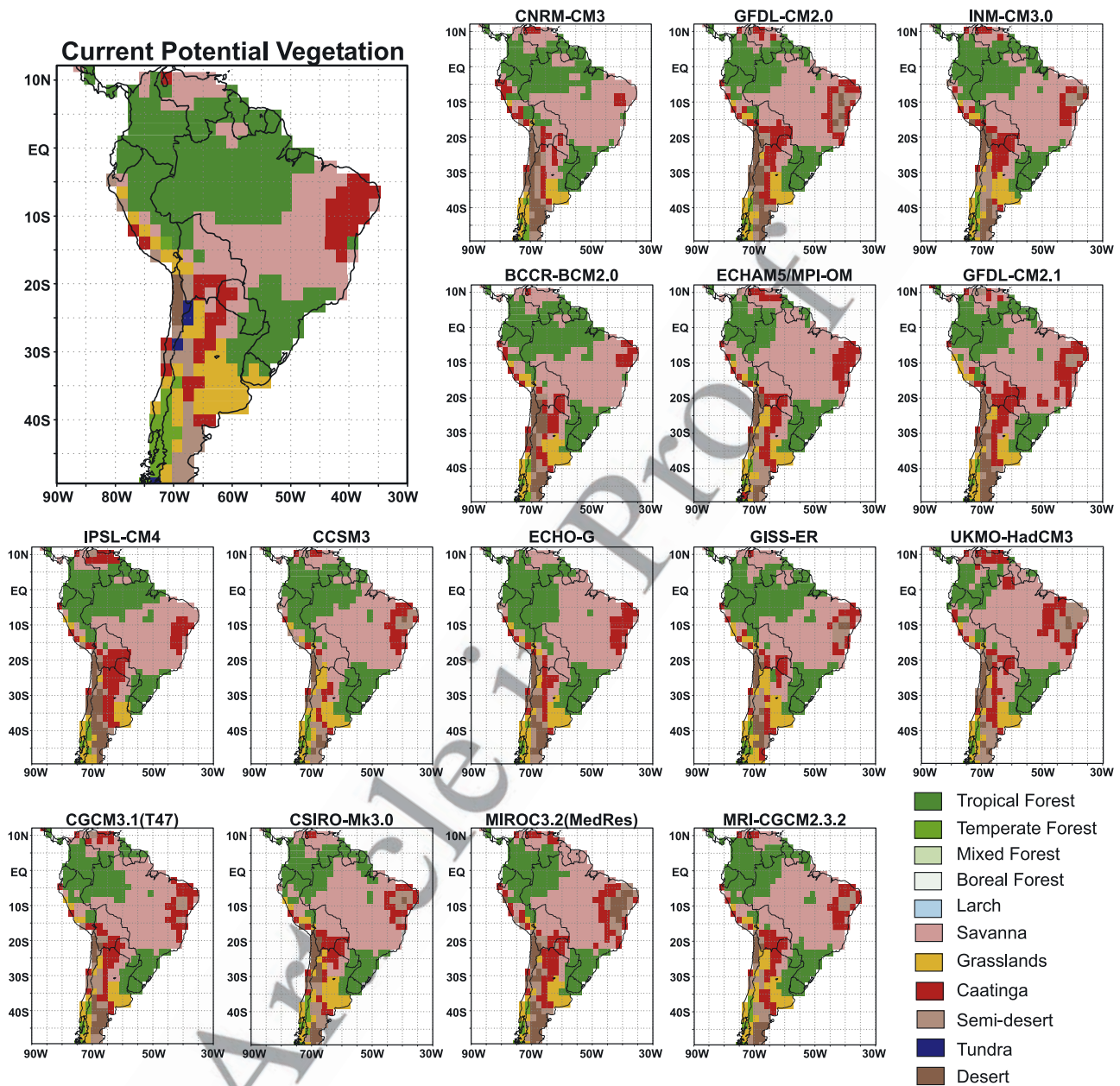
### 3. Results 163

[8] Analyses of precipitation and temperature anomalies 164  
 (not shown) reveal larger differences among models than 165  
 among emission scenarios for the same model. As expected, 166  
 the main source of uncertainty for regional climate change 167  
 scenarios is associated to different projections from different 168  
 AOGCMs. The projected temperature warming for South 169  
 America range from 1° to 4°C for emissions scenarios B1 170  
 and from 2° to 6°C for A2. The analysis is much more 171  
 complicated for rainfall changes. Different climate models 172  
 show distinct patterns, even with almost opposite projec- 173  
 tions. Figure 1 shown the current potential vegetation and 174  
 the projected biome distributions for the A2 scenario and 175  
 the 2090–2099 time slice for all the models analyzed. The 176  
 major differences in biome distributions are found among 177  
 different models (Figure 1) rather than from the two 178  
 emissions scenarios (not shown) for the same model. 179

[9] Figure 2 shows the grid points where more than 75% 180  
 of the models (>11 models) coincide in projecting the future 181  
 condition of the tropical forest and the savanna in relation 182  
 with the current potential vegetation (consensus) for 183  
 the three 10-year time-slices and the A2 and B1 GHG 184  
 scenarios. For tropical South America, the results indicate 185  
 that for the B1 scenario, the models show consensus regions 186  
 of tropical forest being replaced by savanna. This reduction 187  
 of tropical forest increases with time through the 21st 188  
 Century. For the 'caatinga' biome in the Northeast of Brazil, 189  
 a consensus of its future condition was not found, especially 190  
 for the 2090–2099 time-slice. This non-consensus was 191  
 related to the differences in projections of temperature and 192  
 precipitation among models in this region (not shown). For 193  
 the A2 scenario, the reduction of tropical forest which is 194  
 replaced by savanna is greater than for the B1 scenario, and 195  
 the magnitude of the area also increases with the time. As 196  
 expected, this is mainly because the warming anomaly of 197  
 South America is greater for A2 than for B1 scenario, that in 198  
 turn could result in greater reduction in the amount of soil 199  
 water. The area for which consensus of the future condition 200  
 of the forest biome was not reached also increases with time. 201  
 For the period 2090–2099 in both scenarios, the tropical 202  
 forest in Colombia and western Amazon is maintained and 203  
 the Atlantic tropical forest extends to south in southern 204  
 Brazil (Figures 2c and 2f). 205

[10] The lost of tropical forest is related with the 206  
 moisture, H, and seasonality, D, parameters. The moisture 207  
 parameter can be considered as an integrated measure of the 208  
 annual amount of growth-limiting drought stress on plants. 209  
 The seasonality parameter represents explicitly the soil 210  
 moisture seasonality (related with the dry season length). 211  
 It is necessary high values of H and D in the model for 212  
 tropical forest vegetation, that is, short dry season and water 213  
 availability through the year. In the experiments where the 214  
 tropical forest is replaced by savanna, the annual moisture is 215  
 too low and/or the dry season is too long to support tropical 216  
 forest (not shown). 217

[11] Natural ecosystems in tropical South America have 218  
 been under land use change pressure not only recently, but 219  
 for centuries. Amazonia deforestation and land use change 220



**Figure 1.** Projected distribution of natural biomes in South America for 2090–2099 from 15 AOGCMs for the A2 emissions scenarios. The top left plot represents the current potential biomes (they represents the potential biomes, but not the actual vegetation distribution, which is a result of historical land use and land cover change).

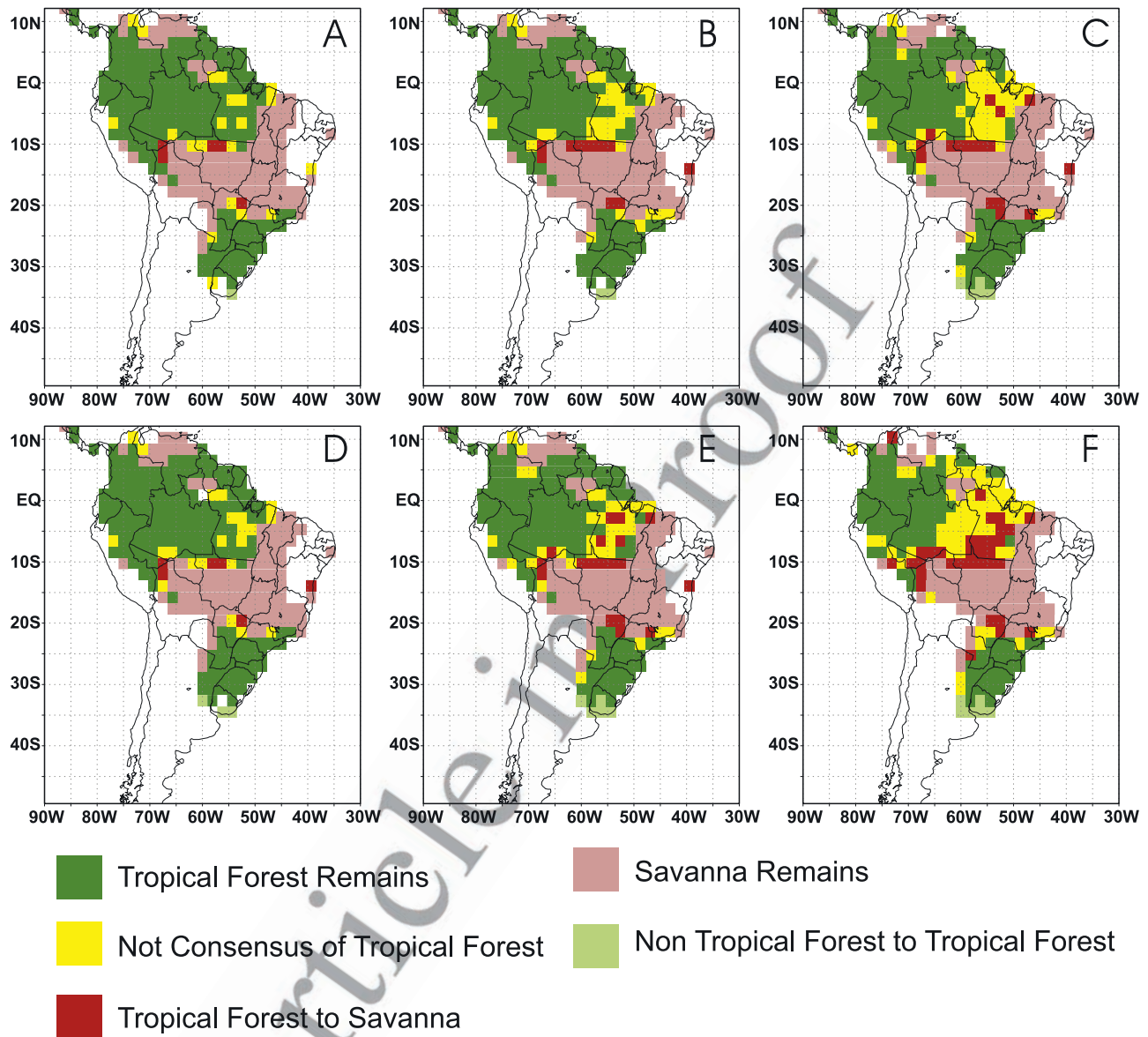
221 in the Atlantic tropical forest from southern to northeastern  
 222 Brazil are examples of the anthropogenic environmental  
 223 degradation. The Atlantic tropical forest (shown in the  
 224 current potential biomes map, Figure 1), and that really  
 225 existed in the past, has been converted into agricultural land,  
 226 with only 7% of the original forest remaining [Tabarelli *et*  
 227 *al.*, 2005]. Figure 2 indicates that these regions under  
 228 projected conditions could maintain the areas of tropical  
 229 forest, that is, future climate change due to global warming  
 230 would cause much less biome change than the direct effect  
 231 of land use change.

232 [12] Figure 3 shows the changes in model-calculated  
 233 South American tropical forest and savanna land cover  
 234 area. There is a consistent increase in reduction of areas

covered by tropical forests (18% [8%] disappear, 30%  
 [23%] inconclusive results for the A2 [B1] scenario and  
 the 2090–2099 time slice) and a corresponding increase of  
 areas covered by savannas. By and large, other similar  
 projections of vegetation changes in response to climate  
 change lend credence to a substantial reduction of forest  
 areas [e.g., Scholze *et al.*, 2006; Cook and Vizy, submitted  
 manuscript, 2007] or a complete forest die-back [e.g., Jones  
*et al.*, 2003; Cox *et al.*, 2004].

#### 4. Conclusions

[13] Climate change scenarios arising from IPCC AR4  
 global climate models and also from regional models point

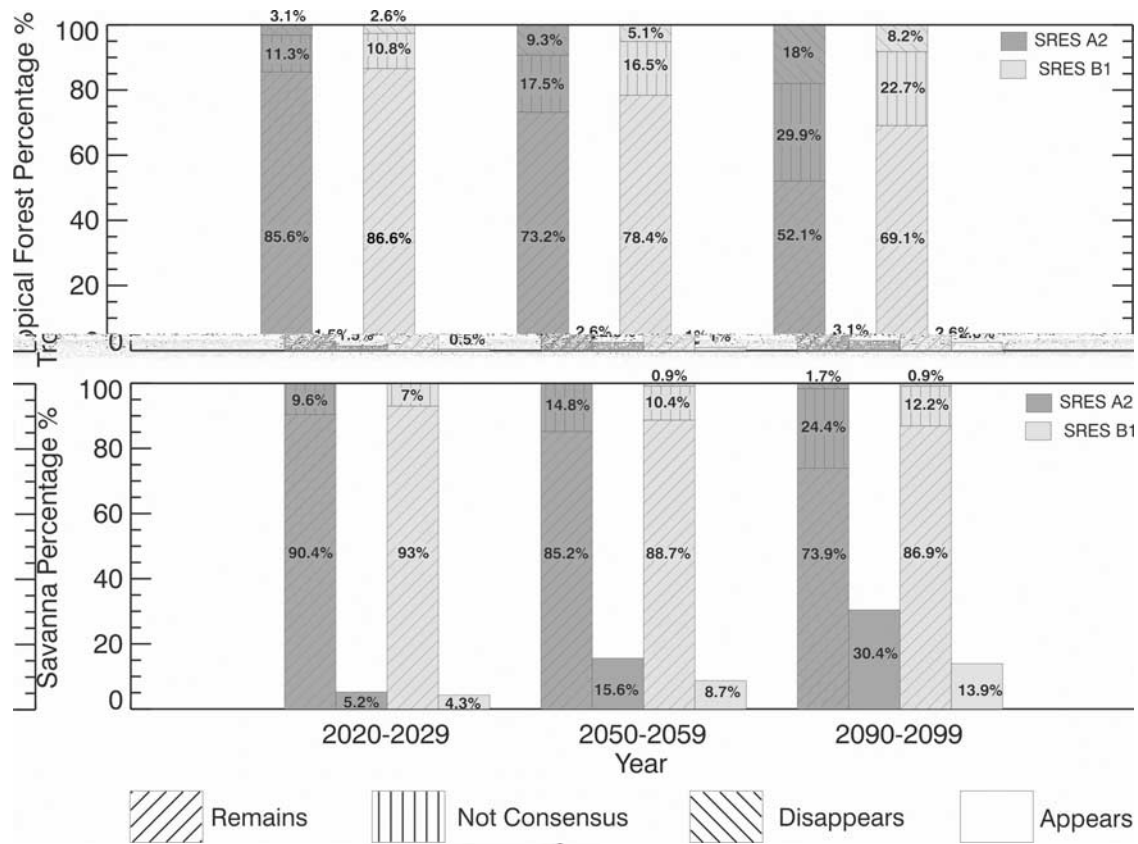


**Figure 2.** Grid points where more than 75% of the models used (>11 models) coincide as projecting the future condition of the tropical forest and the savanna in relation with the current potential vegetation, resulting in the following possibilities: tropical forest remains; savanna remains; tropical forest to savanna shift; non tropical forest to tropical forest shift. The figure also shows the grid points where a consensus amongst the models of the future condition of the tropical forest was not found for the periods (a) 2020–2029, (b) 2050–2059 and (c) 2090–2099 for B1 GHG emissions scenario and (d, e and f) similarly for A2 GHG emissions scenario.

247 towards a much warmer future for South America, with  
 248 projected air temperature increases in the range of 2 to 6°C  
 249 through 2100. However, there is still considerable  
 250 uncertainty with respect to rainfall changes, mainly for  
 251 Amazonia and Northeast Brazil. The increase in  
 252 temperature may induce larger evapotranspiration in  
 253 tropical regions. That, in turn, could result in reduction  
 254 in the amount of soil water, even when rainfall does not  
 255 change significantly. That factor by itself can trigger the  
 256 replacement of the present-day potential biomes by other  
 257 vegetation types which may be more adapted to less soil  
 258 water. That is, tropical savannas replacing tropical forest in  
 259 Amazonia. If severe droughts become more frequent in the  
 260 future, which is a common projection for a warmer planet,

then the process of ‘savannization’ of eastern Amazonia can  
 further accelerate, since there is a higher probability of that  
 area be stricken by droughts in the forest-covered areas of  
 Amazonia [Hutyra *et al.*, 2005].

[14] The consensus analyses project for tropical South  
 America a 18% (8.2%) reduction of areas covered by  
 tropical forest for the A2 (B1) GHG emission scenarios,  
 and a corresponding 30.4% (13.9%) increase of areas  
 covered by savannas for the 2090–2099 time-slice. The  
 reduction of tropical forest that is replaced by savanna is  
 concentrate principally in the Southeastern Amazonia.  
 These changes in vegetation are due to decrease of the  
 annual soil moisture or/and increase of the dry season. The  
 area for which consensus of the future condition of the



**Figure 3.** Percentage of the area where more than 75% of the experiments for the SRES A2 and the B1 GHG scenarios, coincide as projecting the permanence, disappearance or appearance of (top) the potential tropical forest and (bottom) savanna, and where there is not a conclusive consensus amongst models. The percentage is calculated in relation with the actual potential vegetation (approximate potential natural area of tropical forest is  $8.39 \times 10^6 \text{ km}^2$  and savanna is  $4.98 \times 10^6 \text{ km}^2$ ).

275 forest biome was not reached is concentrated in the eastern  
 276 Amazonia.  
 277 [15] The future of biome distribution in tropical South  
 278 America in face of the synergistic combination of impacts  
 279 due to both land cover (deforestation, forest fires and  
 280 fragmentation) and climate changes, resulting in warmer  
 281 and possibly drier climates, points out to ‘savannization’ of  
 282 portions of the tropical forests of Amazonia and possibly  
 283 ‘aridization’ of parts of Northeast Brazil. Our results support  
 284 these trends. For Amazonia that trend would be greatly  
 285 exacerbated by fires [Nepstad et al., 1999, 2001]. The more  
 286 adapted species that may be able to withstand the new  
 287 conditions are typically those of the tropical and subtropical  
 288 savannas. These are naturally more adapted to hotter  
 289 climates with marked seasonality in rainfall and long dry  
 290 seasons and where fire plays an important ecological role.  
 291 Considering that the time scale for natural ecosystem  
 292 migration of centuries to millennia is much larger than the  
 293 expected time scale of decades for both GHG-induced  
 294 climate and land use changes, these have the potential of  
 295 profoundly impacting ecological diversity of plant and  
 296 animal species on a mega-diverse region of the planet. In  
 297 sum, one cannot really expect effective adaptation policies  
 298 when there is the potential for massive ecosystem disruptions  
 299 brought about by projected climate changes of this  
 300 century. Our findings reinforce the case for mitigating

climate change to avoid a dangerous interference with the  
 ability of natural ecosystems to adapt to it.

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